To: Mr. Gurpur Kumar, Deputy Director

DATE: 4 January 2012

A: Internal Audit Division, OIOS

REFERENCE:

FROM: Warren Sach, Assistant Secretary-General

DE: Representative of the Secretary-General for the Shapping Investments of the Acceptance Investments of the Assets of the UNJSPF ,

SUBJECT: IMD response to 11-00762 Assignment No. AT2011/801/03 Audit of

OBJET: business continuity and disaster recovery at UNJSPF

Reference is made to your memorandum dated 19 December 2011 providing the report on the above mention audit. I wish to thank you for the recommendations made to the business continuity and disaster recovery plans of Investment Management Division (IMD) /UNJSPF and for the constructive discussions with IMD Staff regarding this matter.

I am pleased to respond to the above-mentioned audit.

Specific to:

Recommendation No. 1.

IMD will coordinate with the Fund Secretariat in an addendum to the IMD Business Continuity Plan the "scenarios, responsibilities, applications and actions to be taken for ensuring recovery and continuity of the common critical functions and systems (i.e., Lawson and Alertfind)" with the Fund Secretariat.

IMD targets a 31 March 2012 implementation target date if the Fund Secretariat is agreeable.

Responsible IMD individuals. Dr. Kamel Kessaci, Senior Information Systems Officers. Daniel Willey, Compliance Officer.

Recommendation No. 2.

IMD and the Fund Secretariat in a 29 December 2011 Amendment to the MOU have agreed to relocate IMD's ICT operations to the UN North American Data Center (NADC) in Piscataway, New Jersey.

While recognizing the urgency for this relocation, the target date is dependent on vendor(s) response (communication lines...) and the resources of the Fund Secretariat. Even as IMD is committed to working closely and in a timely manner with the Fund Secretariat, a target date for this relocation is best be set by the Secretariat.

Responsible IMD individual. Dr. Kamel Kessaci, Senior Information Systems Officers.



In light of IMD's formal commitment to relocate IMD's ICT operations to NADC, it is respectfully requested that OIOS give consideration to closing the unsatisfactory finding in the audit report given that IMD's overall business continuity and disaster recovery plans are well documented and under continual review and improvement.

IMD remains committed in its efforts to maintain and improve its business continuity and disaster recovery plans and appreciates and values the interaction with OIOS in this critically important subject.

cc: Bernard Cochemé Suzanne Bishopric Paul Dooley Kamel Kessaci Daniel Willey Amy Wong